| Electronic Acknowledgement Receipt | | | | | |
|--------------------------------------|---|--|--|--|--|
| EFS ID: | 8553725 | | | | |
| Application Number: | 10767699 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 5670 | | | | |
| Title of Invention: | System and method for controlling the disclosure of a trading order | | | | |
| First Named Inventor/Applicant Name: | Thomas J. Daley | | | | |
| Customer Number: | 63710 | | | | |
| Filer: | Glen Farbanish/Laura Alto | | | | |
| Filer Authorized By: | Glen Farbanish | | | | |
| Attorney Docket Number: | 04-6174 (069547.0174) | | | | |
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| Time Stamp: | 15:00:56 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |
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| File Listing: | | | | | | | |
|--------------------|----------------------|------------------------|--|---------------------|---------------------|--|--|
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) | | |
| 1 | Transmittal Letter | 04-6174_101004_IDS.pdf | 43385 | no | 5 | | |
| | | | 8d9ae1b644504e334973923770d5ae122b5 301af | | | | |
| Warnings: | | | | | | | |

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| 4 | NPL Documents | pdf | 6a8182bc96fc746b6097ecc2800ftbc115c1 1905 | no | 7 |
| | | 10-767588_NOA_09-21-2010. | 322738 | | - |
| Information | • | | | | |
| Warnings: | | | | | |
| 3 | NPL Documents | 11-499833_NOA_09-15-2010. pdf | 3707785f6f1271c8ar9bb7488x56c497ccbea 4c5f | | 10 |
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| 2 | Information Disclosure Statement (IDS) Filed (SB/08) | 04-6174_101004_1449.pdf | 1c0904304007/04/99s24b3624c012bef5713 50a6 | | 1 |
| | | | 24362 | no | |

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810). A Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.